

10/02/00



# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.: 2001-1191A

First Named Inventor : Satoshi MAEMORI et al.

Title: METHOD FOR THE PREPARATION OF A SEMICONDUCTOR DEVICE

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*

2.  Small Entity Status is hereby asserted.

3.  Specification  
*(preferred arrangement set forth below)*

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) *(35 USC 113)* *[Total Sheets: ]*

5.  Oath or Declaration *[Total Pages : 3]*

- a.1.  Newly executed (original or copy)
- a.2.  Unexecuted
- b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet (see 37 CFR 1.76)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or computer program *(Appendix)*

8.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

- a.  Computer Readable Form
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  Paper
- c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 CFR 3.73(b) Statement *(when there is an assignee)*
- Power of Attorney
- 11.  English Translation Document *(if applicable)*
- 12.  Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- 13.  Preliminary Amendment
- 14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
- 15.  Certified Copy of Priority Document(s) w/Formal Priority Claim  
*(if foreign priority is claimed)*
- 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17.  Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.

Prior Application Information: Examiner      Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

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August 28, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
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JC857 U.S. PTO  
09/939842

08/28/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Satoshi MAEMORI et al.

Serial No. [NEW]

: Attn: APPLICATION BRANCH

Filed August 28, 2001

: Attorney Docket No. 2001-1191A  
THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
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METHOD FOR THE PREPARATION OF A  
SEMICONDUCTOR DEVICE

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$ 750.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$ 710.00

Assignment for Recordal ..... \$ 40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Satoshi MAEMORI et al.

By

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2001-1191A